

EEPS1520 SYLLABUS

Ocean Circulation and Climate

Or, Notions for the Motions of the Oceans

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Or, Notions for the Motions of the Oceans

Course Description: Examines physical characteristics, processes, and dynamics of the global ocean to understand circulation patterns and how they relate to ocean biology, chemistry, and climate change. Assignments address ocean's role in the climate system; ocean observations and models; the origin, distribution, and dynamics of large-scale ocean circulation and water masses; energy and freshwater budgets; and variability of the coupled system on seasonal to centennial timescales, e.g., El Nino. Intended for geological and physical sciences undergraduate and graduate students with quantitative skills and an interest in oceans, climate, paleoclimate. In person lecture & discussion format, with hybrid and recorded backup options. Pre-requisite: EEPS0250, EEPS0350, PHYS0720 or MATH 0180. Scientific computing experience helpful. Offered alternate years, previously offered as GEOL1100, GEOL1520. WRIT.

Key Words: Ocean Circulation, Climate, Ocean Data, Research Papers.

1. Introductory Information

1.1. *Contacts*

The professor for this class is: Baylor Fox-Kemper

baylor@brown.edu

401-863-3979

Office: Lincoln Field room 214

Zoom Office: <http://brown.zoom.us/my/baylorfk>

Zoom Link for Remote Attendance/Recordings: <https://brown.zoom.us/j/92884313515>

(Also in <http://canvas.brown.edu> under Zoom, recordings under Media Library)

Google Calendar: search for "EEPS 1520: Ocean Circulation and Climate"

or upeh5vj0e9nujv2lqejpilis2g@group.calendar.google.com

Links to Website: <http://fox-kemper.com/teaching>, <http://fox-kemper.com/po>

Portions of the website are password-protected to ensure that fair use and copyrights are correctly obeyed as I share images from books, etc. You can access these by using:

username: io

password: oceanscience

1.2. *Getting Help!*

I am usually available by email. I have office hours scheduled through the week (Tu 2:30-3, W 4-4:30, Th 12:30-1). Please check to make sure I haven't cancelled them before coming (on the course calendar, or my contact page). You can make an appointment other times using the big blue button on the left. To do either, check my calendar at <http://fox-kemper.com/contact>.

1.3. *Goals*

In this class you will:

1. Learn about many of the physical processes that occur in the ocean.
2. Learn about how these physical processes are observed, budgeted, and quantified.
3. Learn where these processes occur.
4. Learn about and access recent ocean datasets.
5. Get practice writing and thinking scientifically by focused study of processes.
6. Gain a broader perspective and practice by reviewing your peers' efforts.
7. Benefit from reviews of your writing by your peers and explore and contemplate of the role and process of scientific publication.
8. By alternating the roles of student vs. writer vs. reviewer in assignments, you will resist falling into the expected dominant class structures and gain new perspectives.

1.4. *Basic topics covered and time allotted*

1. Observations (1 Week)

What instruments are common to oceanography?

How are these measurements used?

How do the types of measurements influence theoretical developments?

How do modern measurements derive from historical techniques, including indigenous knowledge and technological advancements

How does the modern observational record reflect its context and history: warfare, colonization, sea trade routes?

Major discoveries in oceanography have come from scientists from all over the world.

2. Fluids Mechanics (2 Weeks)

The differential equations describing a fluid

The differential equations describing a Boussinesq fluid

How the differential equations relate to budgets & conservation of: *salt, freshwater, energy, entropy, potential temperature, momentum, velocity, vorticity, potential vorticity*

Scale and Dimensional Analysis

Reduction to a *dominant balance* for a particular phenomena (e.g., hydrostatic, geostrophic)

Using dimensionless groupings (e.g., Reynolds, Rossby, Ekman, Richardson) to identify *dominant balances*

3. Rotation (2 Weeks)

Equations of fluid motion in a rotating frame of reference

Ekman layers and balance

Geostrophic balance

4. Stratification (2 Weeks)

The temperature, salinity, and density stratification of the ocean

Thermocline, pycnocline, halocline, nutricline

Hydrostasy

Baroclinic and barotropic fluids

The equation of state of seawater

Thermal wind balance

5. Vorticity balances (1 Week)
 - Taylor-Proudman flow
 - Sverdrup flow
 - Thermal wind as a vorticity budget
 - Gyre flow as a vorticity budget
6. Ocean Circulation (2.5 Weeks)
 - Oceans impact our global climate & regional environment
 - Wind-driven ocean circulation
 - Western boundary currents
 - Antarctic Circumpolar Current
 - Meridional Overturning Circulation
 - Theories of the thermocline
 - The pressure distribution of the ocean
 - The circulation of the ocean and climate
7. Forcing (1 Week)
 - Air-sea exchanges of sensible & latent heat, momentum, energy
 - Radiation forcing (shortwave=solar and longwave)
8. Waves (2 Weeks)
 - Dispersive wave kinematics: phase & group velocity
 - Important kinds of ocean waves: surface gravity, internal gravity, tides, Rossby, Kelvin
 - Nonlinear waves, eddies, vortices, and coherent structures
 - El Nino
9. Climate Change (woven throughout)
 - Instability of the Atlantic Meridional Overturning Circulation
 - Sea level rise

2. Course Schedule and Textbook and Software Information

We will meet Tuesdays and Thursdays from 1 to 2:20PM in Lincoln Field 117 or virtually at the Zoom site linked on canvas and in Google calendar. Office hours will be by appointment (see my schedule and book at <http://fox-kemper.com/contact>).

2.1. Software

You should obtain the software we'll be using (primarily matlab or python if you prefer). You can download a copy of matlab for yourself from <http://software.brown.edu/dist/index.html> for free. Python is also free, we will use the Google colab for consistent environment settings. The website (<http://fox-kemper.com/1520>) has many links to datasets that are freely available. I will provide local access to some of the most convenient ones at <http://fox-kemper.com/data>.

The class will require use of software and computers capable of opening ocean datasets of considerable size (multiple Gb of RAM and 100 Gb of disc storage recommended). I strongly recommend matlab due to example code I will share, but you could use python, Java Ocean Atlas, IDL, Ocean Data View, or ferret (although I will be less able to offer help!). All of these are available to you free for PC, Mac, and Linux. Good netcdf browsing software package, such as ncview, Panoply, or Ocean Data View will be very helpful!

2.2. Calendar

The main webpage for the class <http://fox-kemper.com/1520> has the calendar with all assignment deadlines, readings, etc. which are also available as a Google calendar.

There are four major projects, which each have five stages toward the final revision of the paper.

2.3. *Illness, hybrid, and pre-recorded options*

For lots of reasons, we are likely to have some remote participants in our synchronous classes or you may need to catch up on a class missed due to illness or other excused conflict. While in person is a better experience, please use the hybrid option if feeling ill or otherwise unable to attend; the asynchronous option is the least effective in that you cannot ask questions. The zoom links will always be located at <https://canvas.brown.edu> and the course webpage and Google calendar, and recordings from this link will be placed into the “Media Library” section on canvas automatically shortly after each class. Occasionally, I will provide links to a set of short pre-recorded lectures for you to watch on your own time, which will be linked from the calendar on the course webpage (<http://fox-kemper.com/1520>), typically through Youtube. We will then use the synchronous class time for discussion, questions, and feedback about the videos. If you are ill or otherwise absent, it is expected that you will make up any missed classes and assignments unless explicit exception is granted by the instructor (see rules about late submissions below).

2.4. *Canvas and Websites*

The primary resource for this class is the webpage: <http://fox-kemper.com/1520>. The class webpage is where all of your assignments will be announced, links to reading and textbooks will be posted, etc. The second web resource is the canvas page for the class. All homeworks, papers, and peer reviews will be turned in through <http://canvas.brown.edu>, and you can continually monitor your progress and cumulative grade through the gradebook on canvas.

You will want to familiarize yourself with Google Scholar (<http://scholar.google.com>) and the Web of Science (<http://apps.webofknowledge.com>). Both are free to you, and they will help you with your paper preparations. There are many other useful links at the bottom of the course website (<http://fox-kemper.com/1520>), such as to the online textbooks and data repositories. Please let me know if you find any broken links, and I'll fix them!

2.5. *Textbooks and Reading*

We will use one primary, required textbook available for purchase in the bookstore: *Modern Observational Physical Oceanography* (Wunsch 2015) which gives information about the ECCO state estimate, traditional observations, and some basic dynamics. You may also find the free electronic versions of the following useful: *Ocean Circulation and Climate* (Siedler et al. 2013) which gives a recent update on modern observations and regional ocean processes; *Ocean Circulation* (Colling 2001) which gives nice illustrations and basic force balances that we will discuss, and *Atmosphere, Ocean, and Climate Dynamics* (Marshall and Plumb 2008) which describes dynamical concepts, with laboratory examples that we will discuss in class. Electronic versions of 3/4 of the books and resources in the class can be accessed electronically through the Brown site/vpn access (you must be on campus or hooked in with VPN or the library's EZproxy to access). More detail on various topics can be found in books found in links on the course webpage and the bibliography here.

All of the required readings will be posted on the website. Hard copies of many of these books, as well as some others you should become familiar with are in the Science Library stacks. I recommend browsing a bit and seeing what there is (Library of Congress Call

Numbers GC11, GC150, GC228, and QC809 are good places to start). I also have lots of books in my office which I can loan you; please return them!

3. Course Policies and Workload

3.1. Assignments, (lack of) Exams, and Expected Time for Activities

There will be four major assignments for this class, and all of them will be in the form of short scientific reports. You will often be working on two assignments at a time, reviewing and revising the last one (a little work), and preparing the next one (more work). There will also be short homework assignments based on lectures and reading and building toward the four projects. There will be no additional exams or midterms. The final revision of the final paper is presently scheduled to be turned in at the end of exam week, although I have tried to schedule sufficient time the preceding week so that it can be completed before exams.

The weighting of the assignments will be:

- Class meetings (3 hours/week; 39 hours) [Grading: 8% Attendance, participation]
- Reading and reviewing class work (1 hours/week; 13 hours)
- Four reading/paper preparation assignments (4×4 hours; 16 hours) [Grading: 12%]
- Four paper plans assignments (4×4 hours; 16 hours) [Grading: 12%]
- Four sets of calculations on project (4×6 hours; 24 hours)
- Reading literature to support paper/project (4×4 hours; 16 hours)
- Four papers written for first submissions (4×6 hours; 24 hours) [Grading: 40%]
- Three peer reviews (4×4 hours; 16 hours) [Grading: 16% Reviews of peers]
- Revise and resubmit papers. (4×3 hours; 12 hours) [Grading: 12% Revisions]
- Total: 180 hours [Grading: 100%]

What can help me get a good grade? Turn all of the assignments in *on time*. This is *more important* than turning in complete assignments. For the peer-reviewing format of the course to work, ON TIME for the FIRST SUBMISSIONS is CRITICAL, so we can get to the reviewing. Paper plans also need to be turned in on time, so that I can give timely feedback on paper plans and you can get started. If you cannot complete an assignment on time let me know *in advance of the deadline*. **Unexpectedly late papers will not be accepted, and you may be unable to complete 25% of the course assignments as a consequence.**

Assignments are to be in pdf file format (so they can be commented on in canvas) and created according to American Geophysical Union Geophysical Research Letters templates. If you want to use LaTeX, I can help. I strongly recommend you use <http://overleaf.com>, where you can easily open the AGUTeX (for JGR/GRL) as a template to get started. If you want to use something else, you can download the AGU templates, but you're on your own if things go wrong!

3.2.

The scheduling of the assignments are listed on the webpage, and other than the exceptional week around spring break will be as follows.

•HW assignment #*n* will be due on a Saturday at 11:59 PM two weeks before the paper. They will be submitted electronically, and they establish basic tools for the upcoming paper, and I will comment on them ASAP.

•Plans #*n* will be due on a Wednesday at 11:59 PM one and a half weeks before the paper. They will be submitted electronically, and I will comment on them ASAP. Full credit will only be given if you have generated some results and begun reading some references. I will respond by email with suggestions for focus the following week.

- Paper assignment $\#n$ will be due on a Saturday at 11:59 PM. They will be submitted electronically.
- By Sunday at noon, you will receive two of your colleagues' $\#n$ papers to review electronically.
- On Tuesday, we will have class. You can discuss anything with me or the class about your paper or the papers you are reviewing ($\#n$) or paper $\#n + 1$ that *you have already begun thinking about*.
- On Wednesday by 5 PM, your reviews of your colleagues' two papers ($\#n$) are done and submitted electronically.
- By Friday midnight, I will have your paper $\#n$ back to you, with my reviews and your peer reviews. Discussion during class or an appointment is encouraged of returned paper $\#n$.
- The following Wednesday at 5 PM, a revised version of your paper is due.
- That Saturday, the next HW $\#n + 1$ is due.
- The process restarts.

All of this will be charted out on the calendar on the website and in Canvas.

3.3. *Why papers?*

Before you get worried about writing four papers for one class, let me explain the goals of the paper writing. These are *not* supposed to be polished, ready to submit papers detailing years of research. Instead, they are supposed to be practice in writing drafts for your real research. The idea is to get used to pounding out a working draft in only a couple of hours, so that when the time comes for you to do it for real, that part will be easy.

Since you all have different preparation, you will all be able to take advantage of what you know. However, we are working to develop elements in all of the following:

- Quantitative Skills and Equations for the Ocean (Theory/Modeling Component)
- Descriptive Skills and Geography of Ocean Currents (Descriptive Oceanography)
- Understanding of Ocean Observations and Techniques (Observations/Engineering Component)
- Physical Intuition and Dynamical Understanding (Theory/Dynamics Component)
- Implications for Climate, Society, etc. (Policy & Climate Component)

By the end of the semester, you should have addressed each element somewhat in some of your papers.

I hope you will get inspired along the way, and you can revise one of these little papers into a real paper or a prelims or honors project, but don't get upset because you have to hit the ground running. You'll have to do it sometime, and this will be in a friendly environment!

I think that this approach helps to balance the class. Folks with a lot of quantitative experience will be able to use that to their advantage while folks with more substantial preparation in writing, argument, and logical structure will be able to use those abilities. We will be using up-to-date oceanographic datasets, and so the work you are doing is potentially cutting edge research (but that's up to you!).

Also, in the future you will be expected to write much more complicated papers in a more tightly constrained time frame, you might as well get some practice now. Please see http://fox-kemper.com/classes/EEPS1520_27/notes/paperprimer.pdf for more details.

3.3.1. Peer review

In addition to writing the papers, you will each be performing anonymous reviews of each others work. This will give you an opportunity to read closely about topics other than the one you chose, and hopefully you will be able to learn about science writing more quickly. Also, there are a lot of quandaries that arise in peer-reviewing (e.g., one reviewer loves it and one hates it, or a reviewer makes incorrect statements), so you'll get some experience with those issues by practice in a friendly environment.

We will be using a rubric based on the AGU guidelines for review. They are a useful guideline to go by, and when you do reviews of your fellow students, I'll expect to get a A1 or B2 or B1 score, etc.

There are a few lessons to be learned here, that will help you write your own papers and will help you provide effective and useful reviews in your career.

- Learning to spot unfounded claims
- Learning how to properly support claims
- Learning to distinguish poor writing from poor thinking
- Learning to label equations, graphs, and numerical information understandably
- Learning about a broader swath of oceanography than those isolated topics you choose for your own papers.

Please see http://fox-kemper.com/classes/EEPS1520_27/notes/peerprimer.pdf for more details.

3.4. Revisions and response to reviewers

In following along with the expectations of a journal, after receiving your reviews, you will revise your paper. You do not have to take every suggestion made by the reviewers. Indeed, deciding what not to respond to is a critical part of appreciating the content of a review. As such, you will need to prepare a set of responses to the reviews along with the revised paper. You can just make a text file for these responses, and then paste these comments into the comments box on canvas. That way, Baylor can read through your responses alongside the revised paper. You do not have to respond in detail to small critiques, just begin your response to reviewer with "all minor comments were corrected." Then, for the bigger comments, repeat the comment and reply to it. Please see http://fox-kemper.com/classes/EEPS1520_27/notes/responseprimer.pdf for more details.

3.5. What will we do with all these peer-reviewed papers?

As the semester continues, there will be some papers of yours that you like quite a bit, or that were very positively reviewed. Either you or me can designate them as 'publishable', so that the whole class can see them and subsequent classes can, too. At the end of the semester, all of you will be able to access the assembled published papers: 'Proceedings of EEPS1520: Notions for the Motions of the Oceans, Spring 2027'. You can use this proceedings for your future reference, and it will be available for future EEPS1520 inductees. It is up to you which papers you want published (if any).

You can access the previous year's proceedings through the course website with the password I've emailed to you. You will find many good ideas and interesting papers there to help you find your way in writing your own.

Here is the location where your proceedings will go: http://fox-kemper.com/classes/EEPS1520_27/proceedings.shtml Here are all of the past proceedings (username: io, password: ocean):

- http://fox-kemper.com/classes/EEPS1520_25/proceedings.shtml
- http://fox-kemper.com/classes/EEPS1520_23/proceedings.shtml
- http://fox-kemper.com/classes/EEPS1520_21/proceedings.shtml
- http://fox-kemper.com/classes/GEOL1520_19/proceedings.shtml

- http://fox-kemper.com/classes/GEOL1520_17/proceedings.shtml
- http://fox-kemper.com/classes/GEOL1520_15/proceedings.shtml
- http://fox-kemper.com/classes/GEOL1100_13/proceedings.shtml
- http://fox-kemper.com/classes/CU/ATOC5051_10/proceedings.shtml
- http://fox-kemper.com/classes/CU/ATOC5051_08/proceedings.shtml
- http://fox-kemper.com/classes/CU/ATOC5051_07/proceedings.shtml

4. Policies

4.1. Assessment

The four scaffolded paper assignments are the major assessment tool (91% of the grade). Each of the pieces of the assignment is assessed by the instructor, and some are also assessed by peer reviewers. Participation and attendance in class is the other 9%, which will be graded mid-semester and at the end of the semester, with comments made at mid-semester if there is an issue.

4.2. Deadlines

Because of the reviewing process, the scheduling of assignments is tight. Thus, I will have to insist that all papers be turned in on time. If they are late, they will drop a letter grade. If they are really late (so that they mess up the next step in the reviewing process) they will be counted as missed and can not be made up. If you foresee that there are big problems coming up (medical, family, etc.) let me know *before* an assignment is due and we can figure something out.

4.3. Collaboration

I encourage you to work together, and I do not mind at all if you have similar papers or share figures or matlab scripts. However, in this case, I want you to list all of your study group as co-authors or put them in the acknowledgments section of your paper. You are all required to submit a version of each assignment as first author (that is, one that you wrote yourself), even if you worked together on the same topic or assignment. If you use AI to assist in your writing, you should add this to the acknowledgements section of your paper and describe how it was used. The instructor will describe appropriate and inappropriate uses in class. You need to be careful to cite your colleagues or the textbooks or papers you might be working from. You can use as much of these resources as is convenient in your version of the paper, but you need to properly cite the sources. We will discuss this topic more as the class (and the inevitable trouble) ensues. These issues of plagiarism and proper sourcing are a big part of what is to be learned in this method of assignments.

A few other items:

- In person attendance is expected. If you will miss a class, please let the instructor know when and why so he can be sure you'll get any announcements, etc. Virtual attendance is expected failing in person attendance, and recordings are available on canvas if all else fails.
- Clothing and behavior (e.g., cell phone use) should be appropriate for a learning environment.
- Discrimination and harassment will not be tolerated.
- Please contact the instructor if you have any disabilities that require accommodation.

REFERENCES

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